

P21289.A18

Application No. 09/869,334

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hirofumi ENDO et al.

Art Unit: 1652

Serial No. : 09/869,334

Examiner: D. M. Ramirez

Filed : September 26, 2001

For : PROCESS FOR PRODUCING HMG-COA REDUCTASE INHIBITOR

*Fee  
Payable  
only*

AMENDMENT UNDER 37 C.F.R. 1.111

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Responsive to the Office Action of July 14, 2004, reconsideration and withdrawal of the objections and rejections made therein are respectfully requested, in view of the following amendments and remarks. Inasmuch as the three-month shortened statutory period was originally set in the Office Action to expire on October 14, 2004, Applicants hereby request an extension of time for three (3) months and are concurrently filing a formal Request for Extension of Time, together with requisite fees therefore. However, if any extension of time is deemed to be necessary, the Patent and Trademark Office is hereby requested and authorization is hereby provided to charge any necessary fees to maintain the pendency of this application to Deposit Account No. 19-0089.

01/2005 DHILL104 Entry of the following amendment and reconsideration and withdrawal of the

FC:1201 200.00 DA

1

Adjustment Date: 06/27/2005 SDRETA1 09869334  
02/01/2005 DHILL104 00000002 190089  
01 FC:1201 200.00 CR

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
19 0089	
FEE CODE	VALUE FURNISHED
1201	200

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P21289.A15



INTELLECTUAL PROPERTY  
DIVISION

Dep & Ref  
Room #803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hirofumi ENDO et al.

MAY 27 AM 9:10

Group Art Unit: 1652

Appln. No. : 09/869,334

Examiner: Delia M. RAMIREZ

Filed : September 26, 2001

For : PROCESS FOR PRODUCING HMG-CoA REDUCTASE INHIBITOR

### REQUEST FOR REFUND

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop 16  
Randolph Building  
401 Dulany Street  
Alexandria VA 22314

Sir:

Applicants respectfully request a refund in the amount of \$200.00 that was charged to our Deposit Account No. 19-0089 on DATE ON STATEMENT OF ACCOUNT in connection with the filing of the Amendment Under 37 C.F.R. § 1.111 on January 14, 2005.

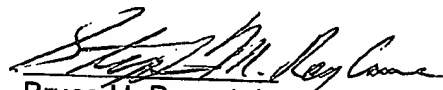
The basis of this Request for Refund is that the account was charged for independent claims in excess of 3 upon filing the Amendment on January 14, 2005. However, Applicants only filed three independent claims, claims 14, 15, and 39. Claims 1-13 and 17-20, being withdrawn, claims 16 and 21-38 being dependent claims.

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Applicants also enclose a copy of our mailroom date-stamped filing receipt evidencing the filing of the same.

Please make payment of the refund to Deposit Account No. 19-0089.

Respectfully submitted,  
Hirofumi ENDO et al.



Bruce H. Bernstein  
Reg. No. 29,027

Stephen M. Roylance  
Reg. No. 31,296

May 17, 2005  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



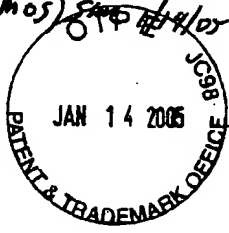
GREENBLUM & BERNSTEIN, P.L.C.  
Intellectual Property Causes  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

1/14/2005

File In: MAURROOM

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- |   |  |
|---|--|
| <p><input checked="" type="checkbox"/> Amendment <u>(1.1)</u><br/><input type="checkbox"/> Executed Assignment and cover letter<br/>    <input type="checkbox"/> by facsimile<br/><input type="checkbox"/> Executed S.E.S. <input type="checkbox"/> S.E.S. Assertion<br/><input checked="" type="checkbox"/> Req. for Ext. of Time <u>(3 mos) 8/14/05</u><br/><input checked="" type="checkbox"/> Fee Filing <input checked="" type="checkbox"/><br/>    Recording <input type="checkbox"/><br/>    Issue <input type="checkbox"/><br/>    Extension <input checked="" type="checkbox"/><br/><input type="checkbox"/> Fee Transmittal<br/><input type="checkbox"/> Maintenance Fee Payment<br/><input type="checkbox"/> PTOL-858 Issue Fee<br/><input type="checkbox"/> Letter to Draftsman (in trip.)<br/><input type="checkbox"/> Design Patent Application Transmittal<br/><input type="checkbox"/> Utility Patent Application Transmittal<br/><input type="checkbox"/> Provisional Application Cover Sheet<br/><input type="checkbox"/> Continued Prosecution Application<br/>    (CPA) Request Transmittal<br/><input type="checkbox"/> Request for Continued Examination (RCE)<br/>    including submission<br/><input type="checkbox"/> Cover Letter<br/><input checked="" type="checkbox"/> Check No. 53002 for \$ 1,200.00<br/><input type="checkbox"/> Rule 53b and 53f Letter for<br/>    Unexecuted Application</p> | <p><input type="checkbox"/> Claim of Priority &amp; Certified<br/>    Copy of _____<br/><input type="checkbox"/> Declaration <input type="checkbox"/> Supplemental<br/>    <input type="checkbox"/> New <input type="checkbox"/> Unexecuted<br/>    <input type="checkbox"/> Executed by facsimile<br/><input checked="" type="checkbox"/> Transmittal Letter<br/><input type="checkbox"/> Patent Application<br/>    <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam<br/>    <input type="checkbox"/> Reissue <input type="checkbox"/> Design<br/>    <input type="checkbox"/> Continuation <input type="checkbox"/> CIP<br/>        _____ pages (w/abstract)<br/>        _____ claims _____ independent<br/>        _____ sheets of drawings<br/>        _____ figures<br/><input checked="" type="checkbox"/> I.D.S. form PTO-1449 &amp;<br/>    References <input type="checkbox"/> as attached<br/>        <input checked="" type="checkbox"/> as listed on<br/>            reverse<br/><input type="checkbox"/> One self-addressed postcard<br/><input type="checkbox"/> Certification under 1.97(e)<br/><input type="checkbox"/> Certificate of Mailing (C-O-M)<br/><input type="checkbox"/> Returned Envelope</p> |
|---|--|



In the matter of : PROCESS FOR PRODUCING HMG-CoA REDUCTASE INHIBITOR

COPY

Applicant : Hirofumi ENDO et al.

Application No. : 09/869,334

Patent No. :

Filed : 9/26/01

Issued :

Docket : P21289

TP